

Client Reporting Election Form

Instructions: Please complete this form to elect Aggregation and/or Third Party Performance Conversion Service(s). **By completing this form you are adding to the Advanced Client Reporting Service** included in the SEI Wealth Platform offering which consists of daily point to point performance reporting, Web and Report Branding upon request, on demand client review performance reports with the ability to schedule or generate custom reports on demand, and 21 exportable online views including Activity and Performance Overviews, Period Performance, Holdings, Income, Realized Gain/Loss and more.

If you have questions about this form, please call your SEI Private Trust Company (SPTC) Service Team at 1-800-734-1003.

This form should be submitted electronically via www.SEIAdvisorCenter.com by selecting Actions>Manage Forms. For additional contact information, please select Help>Resources>Contact SEI from the home page of www.SEIAdvisorCenter.com.

(1) Service Election **Aggregation Service**

- Aggregation - via Direct Feeds (i.e. custodial and data provider)
- Aggregation - via Indirect data capture

Applicable Fees:

Direct Feed: \$20.00 per account per year (Elite & Premier Firms)

\$25.00 per account per year (Select Firms)

\$50.00 per account per year (All Other Firms)

Indirect Feed: \$75.00 per account per year (Elite & Premier Firms)

\$85.00 per account per year (Select Firms)

\$100.00 per account per year (All Other Firms)

 Third Party Performance Conversion Service – Extra Service

- Contact your SPTC Service Team to learn more about historical conversion projects.
- SEI will introduce the Advisory Firm to Envestnet.
- Advisory Firm will contract directly with Envestnet for the Performance Conversion service.

Applicable Fees: Custom quote

(2) Advisory Firm Instruction for Release and Aggregation of Client Data and Signatures

We, the Advisory Firm executing this instruction, and each of its Advisory representatives (“Firm”), hereby instruct SEI Private Trust Company and its services providers (“SPTC”) as follows:

- (1) Firm is electing to use SPTC’s data aggregation and reporting services (“Services”) for all of Firm’s clients for all accounts for which SPTC provides custody services (“Clients”);
- (2) Upon the additional instruction from Firm, SPTC is permitted to request Client data, including non-public personal information (as defined by regulation S-P), from third party custodians for data aggregation and reporting purposes and Firm has the authority under applicable law and regulation to instruct SPTC to request the release this Client data to SPTC;
- (3) Firm acknowledges and agrees that to the extent Firm instructs SPTC to aggregate and report Client data, including non-public personal information (as defined by regulation S-P), Firm has the authority under applicable law and regulation to provide the instruction; and
- (4) By accepting this Instruction, SPTC agrees that the Client data will not be used nor shared: (a) other than in connection with providing the Services; (b) unless such additional use has been authorized in writing by the Firm or Client; or (c) unless the Client data has been de-identified (as such term is defined herein). The Term “De-Identified Data” means data, information or content from which the identity of a particular person or entity can not be ascertained.

Firm hereby agrees that SPTC shall not have any liability to Firm and Firm shall hold SPTC, its affiliates and subsidiaries harmless from any and all potential, threatened or pending claims, actions, proceedings, losses, damages, liabilities, costs or expenses (including attorney's fees) (collectively "Losses") related to this Instruction. Without limiting the generality of the foregoing, Firm hereby agrees to indemnify and hold harmless SPTC, and its affiliates and subsidiaries from any losses without limitation as a result of SPTC's request to release Client data from third party custodians and/or SPTC's aggregation of Firm's Clients' non-public personal information and other information hereunder.

Firm acknowledges and agrees that (i) multiple custodians may hold the assets depicted on site pages; (ii) valuations are provided by custodians in accordance with each custodian's valuation policies, and asset valuations may differ from custodian to custodian; and (iii) site pages containing combined reporting for more than one custodian use only one custodian's valuation related to any particular security.

We hereby warrant and represent that: (i) we act as the Firm (or perform similar financial service functions) to every Client with an account at SPTC covered by this instruction pursuant to an agreement with such Client; and (ii) this instruction is properly given by our authorized representative and has been duly authorized by us.

Firm, by executing this instruction, and SPTC, by accepting this instruction, agree to comply with all provisions of the Gramm-Leach-Bliley Act applicable to it, including without limitation, applicable provisions regarding (i) the sharing or disclosure of Nonpublic Personal Information (as such term is defined in the Gramm-Leach-Bliley Act); and (ii) the implementation and maintenance of appropriate security measures designed to protect the security and confidentiality of Nonpublic Personal Information.

The Authorization shall remain in full force and effect until revoked in writing providing SPTC reasonable opportunity to act upon said revocation.

- **Firm election to pay for Aggregation** - Aggregation Fee will be charged to your clients' custodial accounts **unless** you check the box below indicating that Firm is electing to pay the Aggregation Fee on behalf of all firm clients.
 - By checking the box to the left, Firm instructs SPTC that Firm agrees to pay the Aggregation Fee on behalf of Firm clients. **(Please note: Aggregation Fees will not be charged to your Firm prior to August 1, 2019.)**

Advisory Firm Name _____ Advisory Firm Telephone Number _____

Advisory Firm Authorized Person's Name _____

Advisory Firm Authorized Person's Signature _____ Date _____

For verification purposes, list one account number associated with the Advisory Firm Name: _____

If applicable:

Broker-dealer Authorized Person's Name _____ Broker-dealer Telephone Number _____

Broker-dealer Authorized Person's Signature _____ Date _____