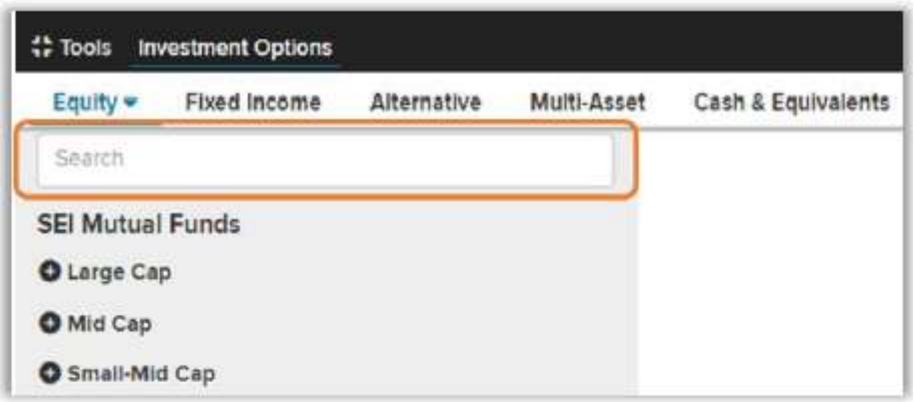
Technology Updates.

9.18.24 Release

IMPROVED

Proposal Builder/Digital Account Open/Modify Investment Assignment - Search Bar Added to Investment Options Tools Flyout

When creating/modifying a UMA model or firm custom strategy you can now search for SEI Funds, Strategies and Third Party Managers. The search will make it easier to find the funds, strategies, and managers you are looking for. At this time you can search within one asset class at a time. You are not able to search across asset classes.



IMPROVED

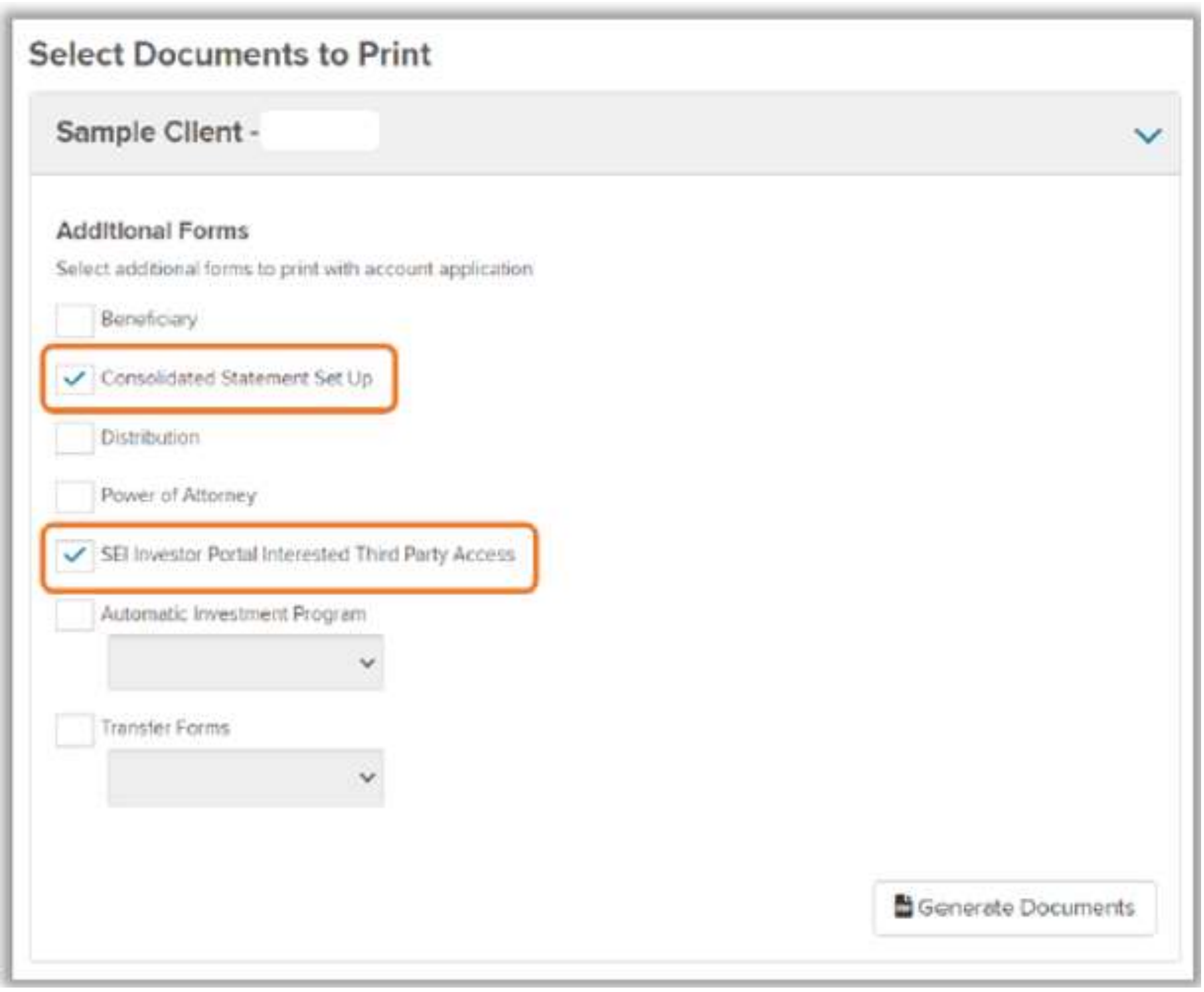
Proposal Builder – New Fixed Income Analytics Available

Two new pages have been added to the Analytics section of the Presentation Checklist for the Investment Policy Statement: Fixed Income Credit Rating & Fixed Income Maturity Analysis. Both pages are optional and will be only available for proposals including an allocation to fixed income products.

IMPROVED

Digital Account Open & Download Application Kit – Consolidated Statement Set Up/Change and SEI Investor Portal Interested Third Party Forms Added

The forms can now be included with Download Application Kit and the Digital Account Open print document flow. At this time, the forms are not eligible for the Digital Account Open electronic signature flow.



9.4.24 Release

IMPROVED

Digital Account Open – Personalization Options Available for Applicable Managed Strategies

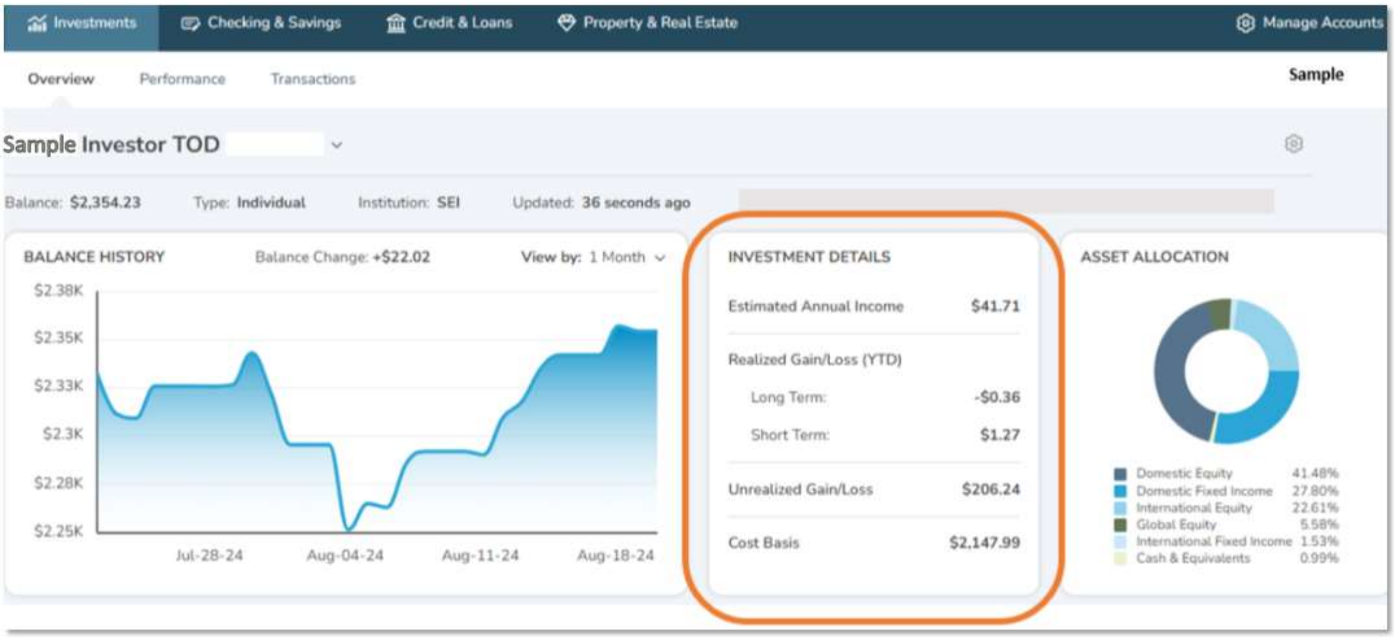
Within Investment Selection you will now see a new option called Personalization under Tools where you can add social screens and asset restrictions. Selections made will display in the Personalization section of the Account checklist and will flow through to the account application. Once the account is open, selections are stored and can be viewed within the Modify Investment Assignment action.

8.21.24 Release

NEW

Investor Portal – Investment Details Summary Added in the Client View

Within the Account Overview page, the Investment Details Summary will include totals of cost basis, unrealized gain/loss, realized gain/loss (taxable accounts only), and estimated annual income.



NEW

Investor Portal – Export Button Added on the Contacts and Accounts Pages

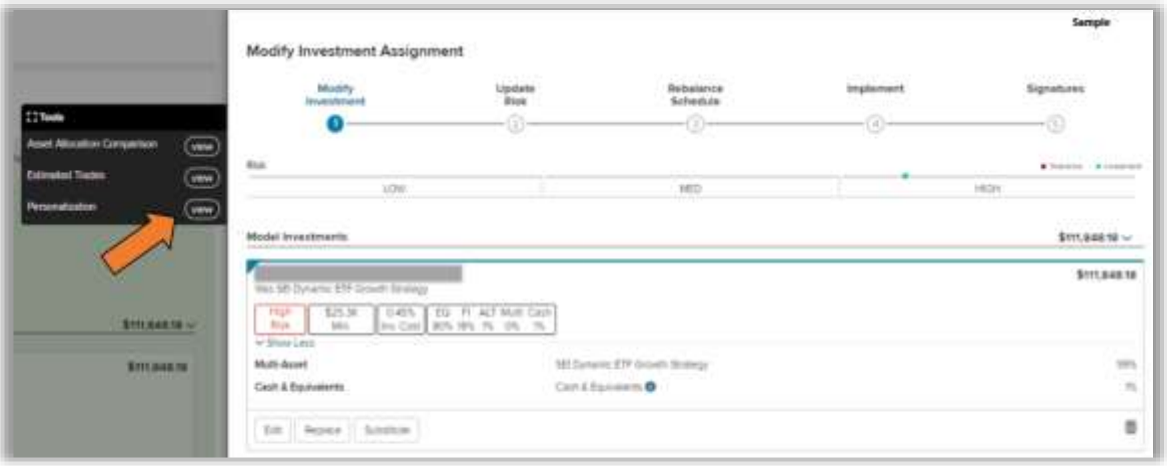
You can now export a list of contacts for analysis, primarily to track client login status. On the Accounts page you can export a list of SEI and any held away accounts.

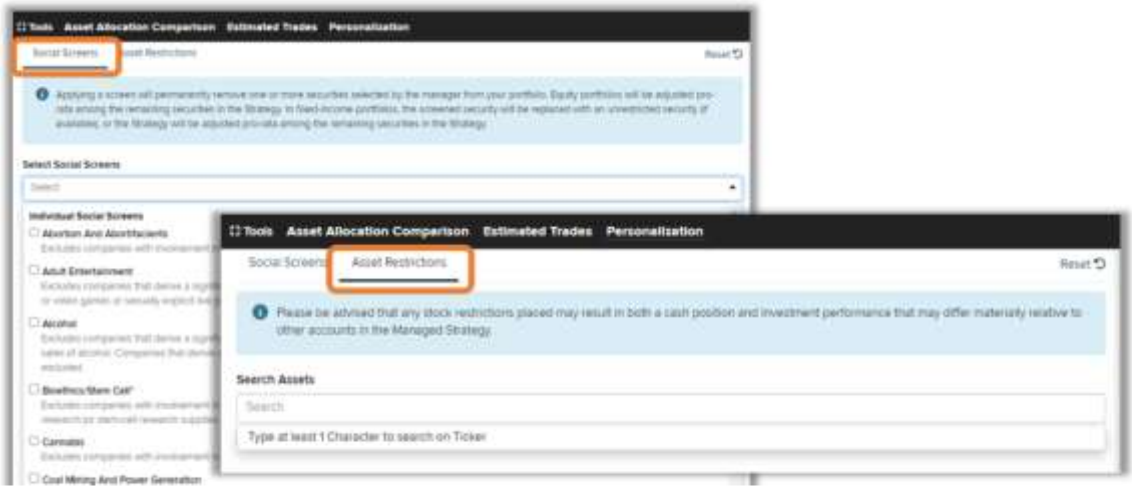
8.7.24 Release

IMPROVED

Modify Investment Assignment – Personalization Options Available for Applicable Managed Strategies

You can now select personalization options for social screens and asset restrictions when submitting an investment change at the account level on an applicable managed strategy. After making an investment selection or modification, you will see a new option called Personalization under Tools. Upon selecting the “View” action, the screen expands where you can select the Social Screens or Asset Restrictions tab. You are able to select any number of available social screens or individual assets to restrict. After submission, the personalization selections will be stored and can be viewed on the account checklist.





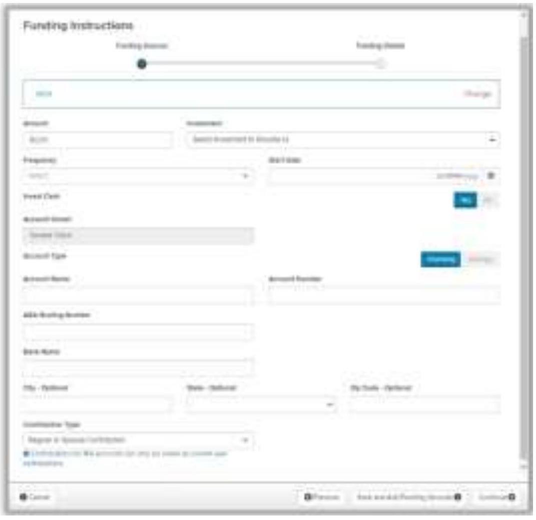
7.24.24 Release

NEW

Digital Account Open & Download Application Kit – ACH Added as a Funding Method

Within Digital Account Open, you will fill in the ACH funding instructions by entering the amount, investment to allocate to, frequency, start date and investor’s banking information. You may add up to 5 ACH’s per account and can only allocate to one strategy per ACH. After all data is captured we will pre-fill the appropriate automatic investment program (AIP) form. This option is only available to accounts created on or after 7/24/24.

Within the Download Application Kit Additional Forms section, you will see an option for Automatic Investment Program. You can select up to 5 forms. We will generate a blank form for you as part of the application kit.



NEW

Investor Portal – Export Holdings & Transactions

Firm Users and Investors will now see the Export icon on the holdings and transactions grid. When selecting export, you will be able to select a timeframe: Last 7 days, Last 30 days, Last year, Custom.

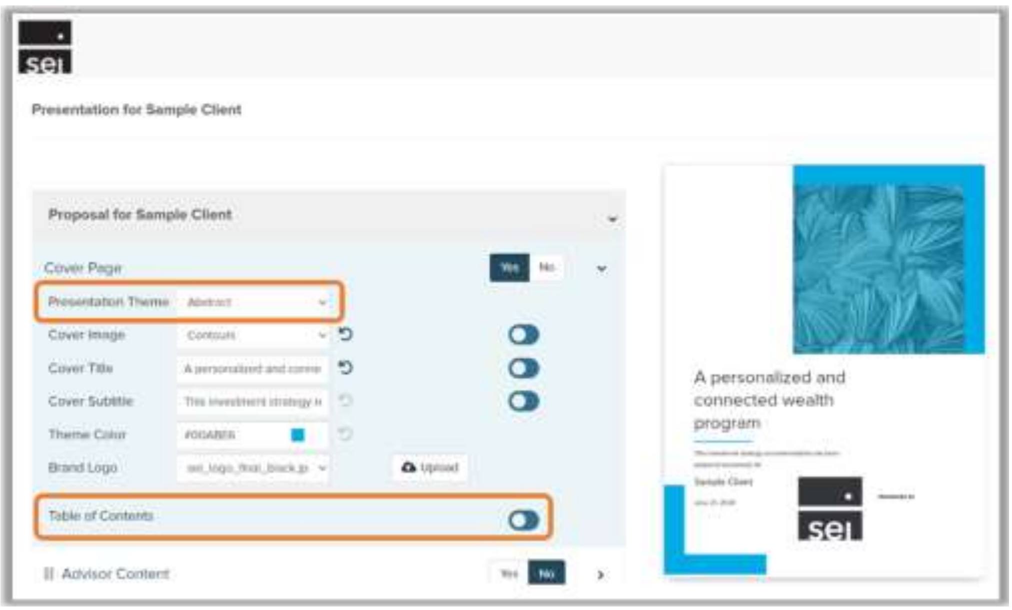
6.27.24 Release

IMPROVED

Proposal Builder – Proposal & IPS Redesign

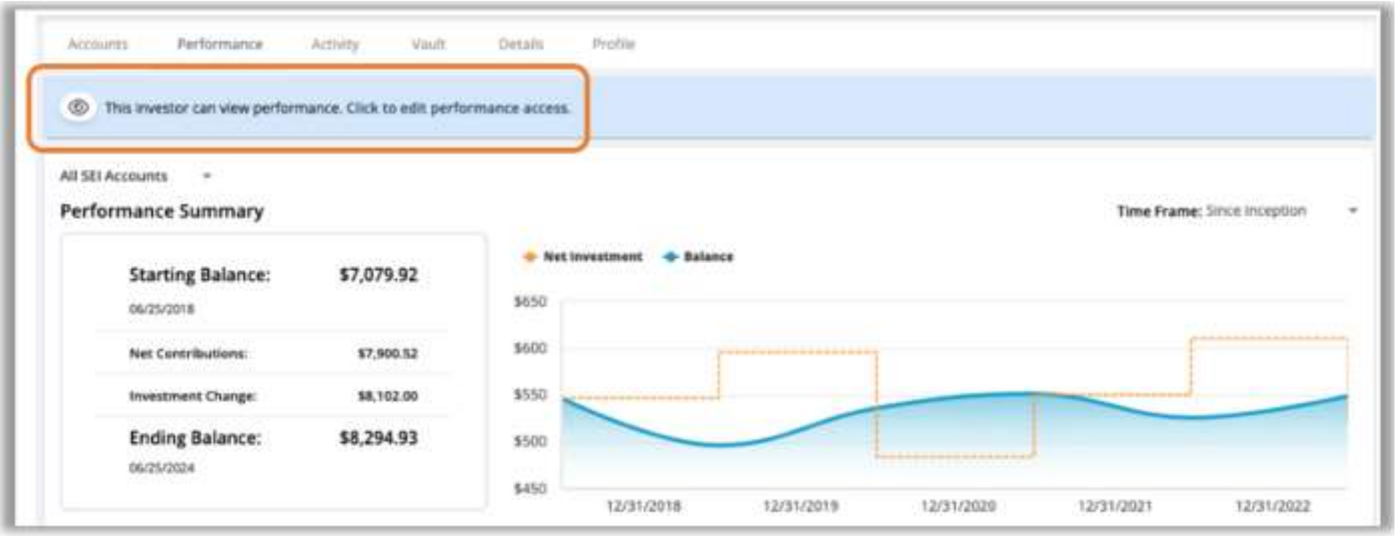
The designs have been updated to reflect a more sleek and modern aesthetic. Along with an updated design, we have new cover page presentation themes. You will have the option to choose between 3 cover page designs. Color selections made for each theme will carry throughout the generated documents.

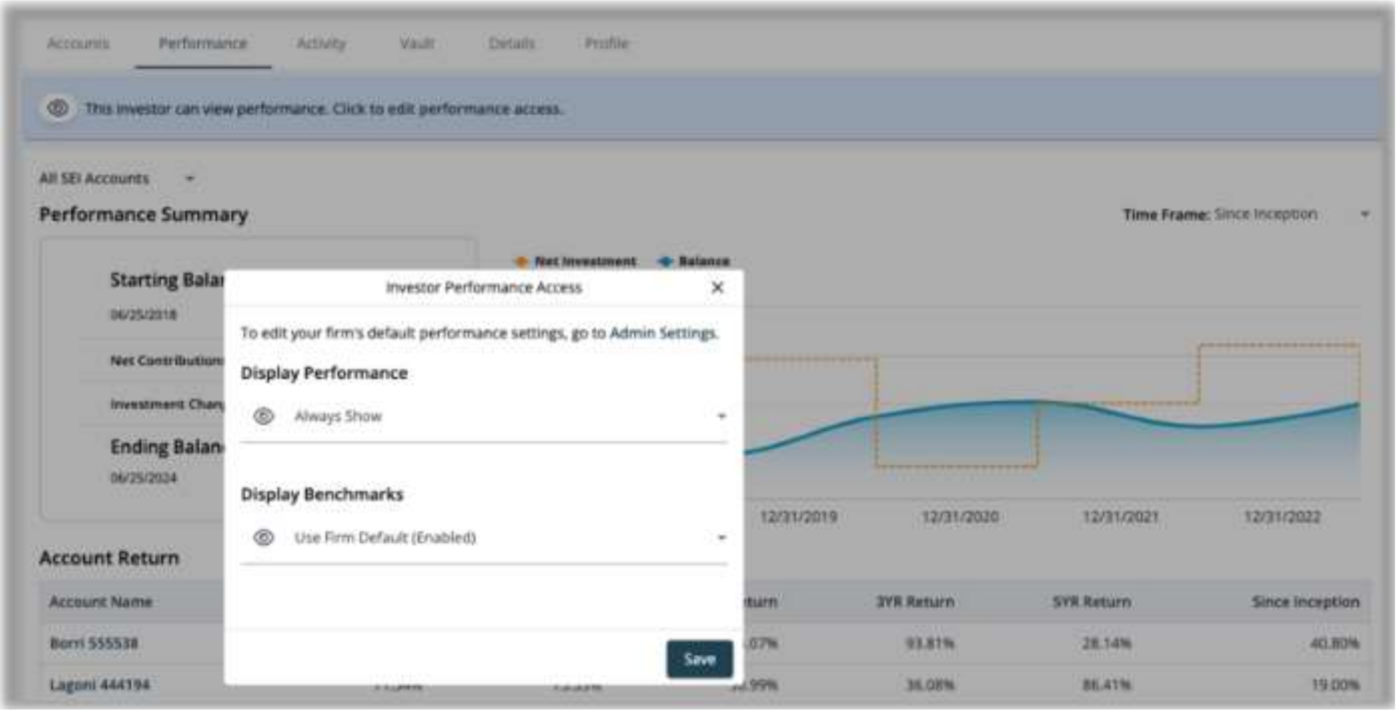
- Abstract – Theme color selection will be used as an accent color throughout the generated documents.
- Simplistic – Theme color selection will be used as an accent color throughout the generated documents.
- Custom – Title bar color selection will be used as an accent color throughout the generated documents.



Investor Portal – Firm Users can now Toggle SEI Account Performance View by Client

All clients will use the firm's default performance and benchmark settings. You can override the setting at a client level to 'Always Hide' or 'Always Show' performance and benchmarks. Firm Admins are the only users who can change the Firm level settings, but any firm user can edit the client settings. A banner will display to make it clear to the user if the investor can or cannot see performance. ***Estimated to be available by July 2nd***



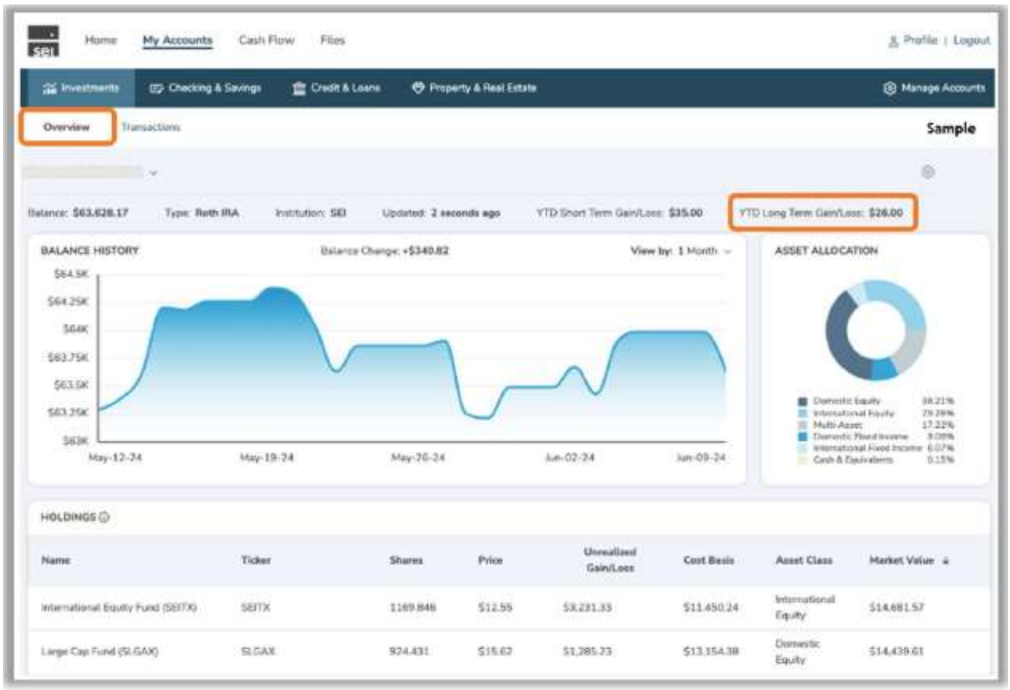


6.12.24 Release

NEW

Investor Portal – Firm Users and Investors can now View Realized Gain/Loss Information for SEI Account Transactions

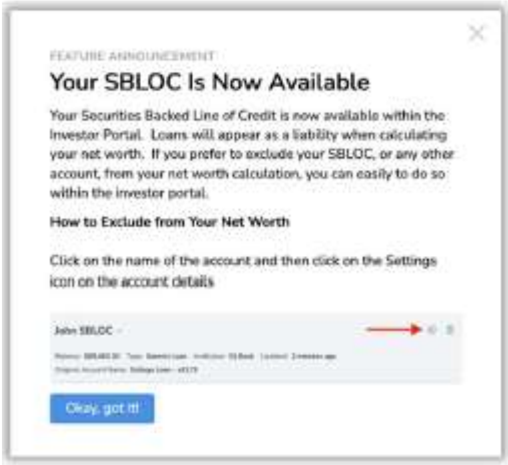
The account level overview has been updated to include the total YTD Short & Long Term Gain/Loss value. The transactions grid has been enhanced with 2 new columns: Short Term Gain/Loss and Long Term Gain/Loss. Users can also filter transactions by short or long term gain/loss. Please Note: Historical transactions prior to this release will not display gain/loss values on the transactions grid.



5.30.24 Release

NEW

Investor Portal – Bancorp Cash Access (CAA) and Securities Backed Line of Credit (SBLOC) Accounts Have Been Added to the Accounts View



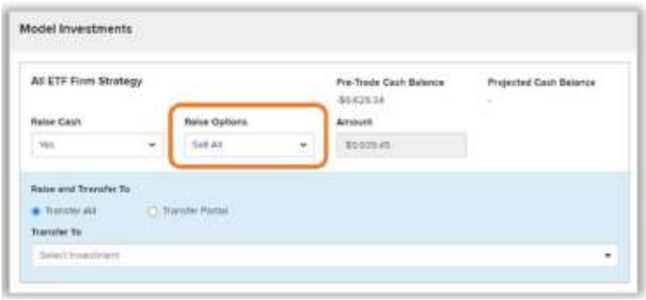
Firm users and investors will now see CAA and SBLOC accounts in the Investor Portal. As new accounts are opened they will display automatically just like an SEI account.

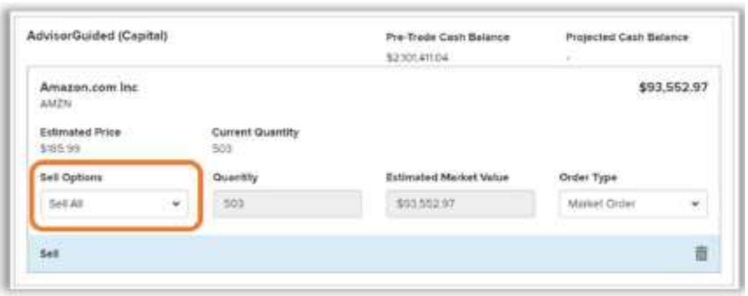
Investors with an SBLOC will see a message indicating the account is a loan and it will appear as a liability.

IMPROVED

Initiate Trade -Option Added to 'Sell All' When Raising Cash or Selling Individual Assets

When selecting 'Raise' or 'Raise and Transfer' you will now have the option to select 'Sell All' which will sell all assets held in the portfolio. 'Sell All' is also an option when selecting 'Sell' or 'Sell and Transfer' for an individual asset.
Please note: 'Sell All' is not available for Managed Investments. An Investment Change form is required to terminate management.





IMPROVED

Initiate Trade -Option Added to ‘Specify Tax Lots’

You now have the ability to sell tax lots and specify the priority to be sold. The ‘Specify Lots’ button will be available when ‘Specify Quantity’ is selected as the sell option.

5.15.24 Release

IMPROVED

DocuSign – Increased Frequency of DocuSign Email Reminders

We received client feedback requesting that we increase the frequency of DocuSign email reminders. We polled our online Operations Community to allow them to vote on the new frequency and have made the following changes:

Applications are eligible for signature for 30 days, a reminder was sent 3 days prior to the expiration. Now the signer will receive an email reminder every 3 days, with a final reminder 1 day before expiration.

Non-Applications are eligible for signature for 10 days, a reminder was sent 3 days prior to expiration. Now the signer will receive an email reminder every 2 days, with a final reminder 1 day before expiration.

IMPROVED

Proposal Builder – SEI ETF Substitution Support

A ‘Substitute’ button will be available on the investment summary page after selecting an eligible strategy. Substitution(s) will display within the investment summary fly out, proposal checklist page within the Personalized badge, as well as within the generated proposal PDF.

NEW

Investor Portal – Transactions Summary Across All Investment Accounts Now Available in the Investor View

When a Client navigates to ‘My Accounts’ we have added a new Investment Transactions section. Here clients can see a consolidated view of all transactions within their investment Accounts. Clients can filter data by transaction type, trade date and trade amount.

4.3.24 Release

NEW

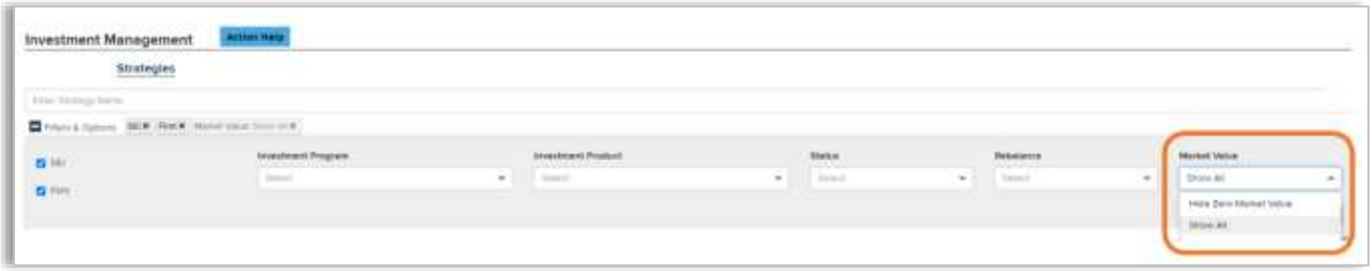
Phase 2 Integration between Proposal Builder and Digital Account Open

With this release, when Implementing a Proposal, the Risk Tolerance (if applicable) and the Investment Summary section will now populate in the pending account. Additional information may be required in order to complete the Investment Summary section, such as: Special Instructions, Personalization Options & Substitutions.

IMPROVED

Investment Management – Filter Added to Suppress Strategies that have a Zero Market Value

The default view shows all strategies, but you can expand Filters & Options and under Market Value select 'Hide Zero Market Value'.

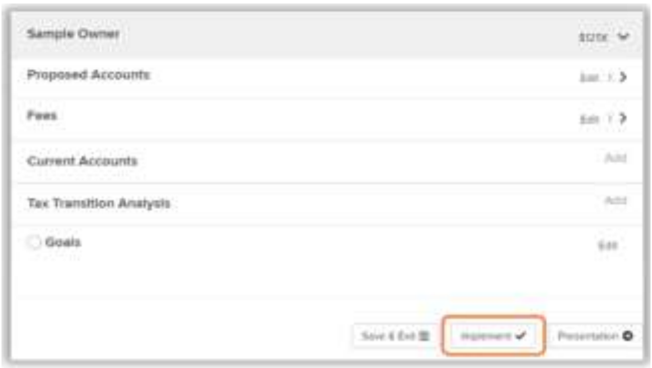


3.6.24 Release

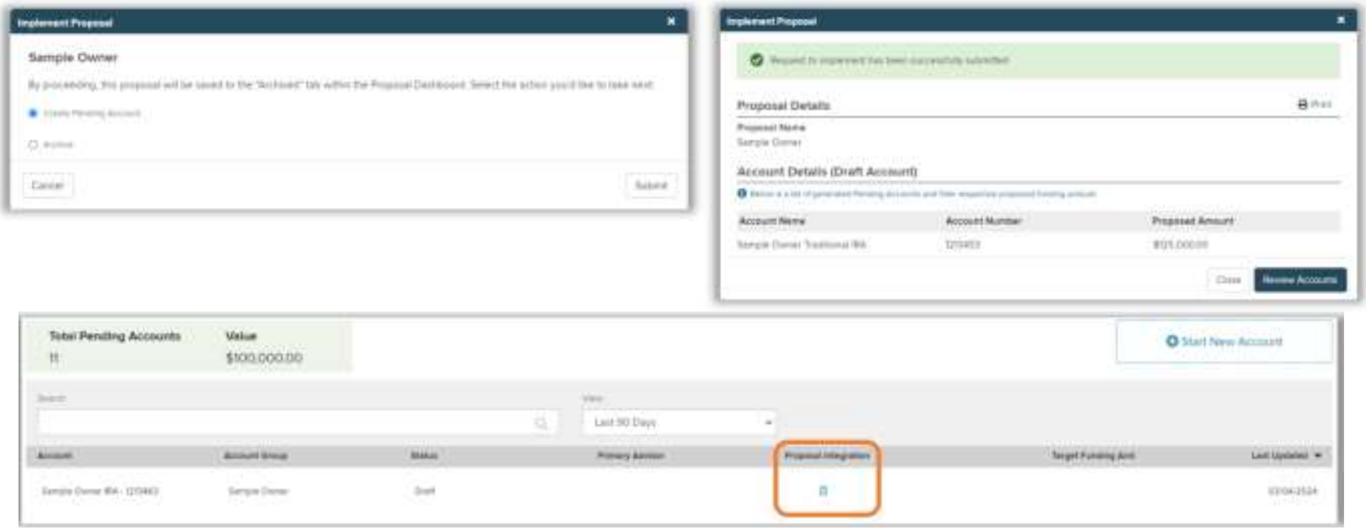
NEW

Phase 1 Integration between Proposal Builder and Digital Account Open

When you Implement a proposal within Proposal Builder from the proposal checklist or proposal dashboard and choose Create Pending Account you will get an on screen confirmation of the preassigned account number and your pending account will be created within Digital Account Open.



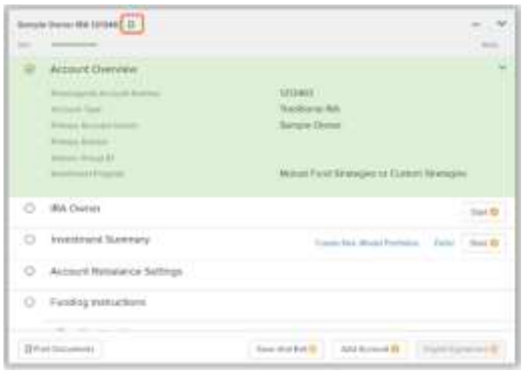
You will know that the pending account was created through the Proposal Builder implement experience because you will see a PDF icon in the Pending Accounts dashboard in the new Proposal Integration column. If the icon is selected, you will navigate to the proposal checklist where the proposal details can be viewed in read only mode.



Within the pending account, the Account Overview section will now be pre-filled based on the proposal and you can continue working on the new account.

The same PDF icon of the proposal will be available and again, if selected, you will navigate to the proposal checklist where the proposal details can be viewed in read only mode.

Once you implement a proposal into Digital Account Open, the proposal will be archived within the proposal dashboard. You can download or reactivate an archived proposal. You can reactivate the proposal if you need to make changes. Reactivating will delete the pending account(s). Proposals can only be reactivated when accounts are in Draft or Awaiting Advisor Approval status.



2.26.24 Release

IMPROVED

RMD Dashboard – New Action to Indicate RMD Satisfied Elsewhere

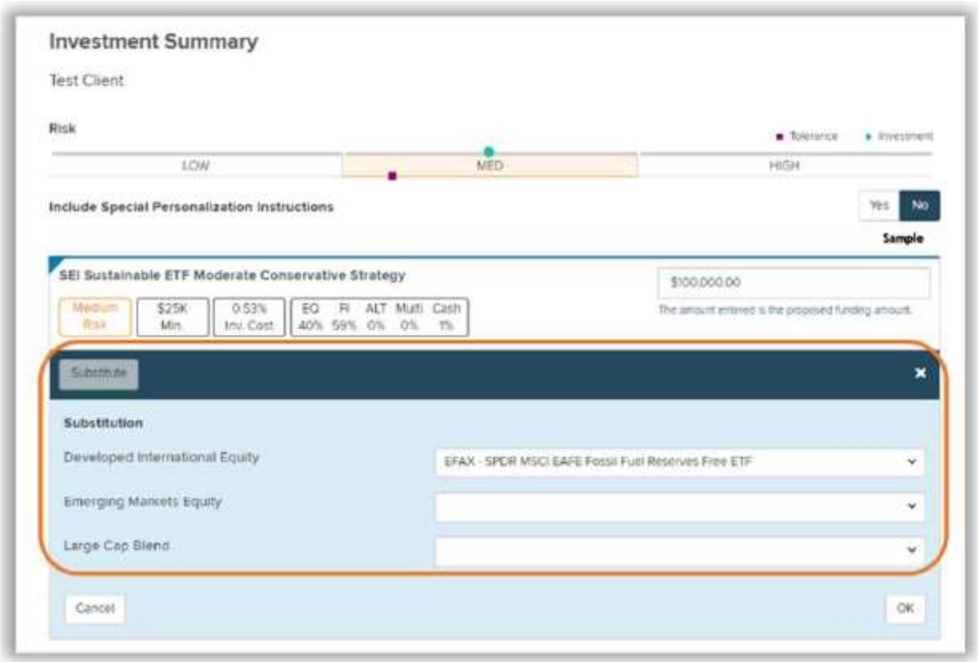
This new action will allow you to indicate that the RMD was met elsewhere for clients not enrolled in our Auto RMD Service.

2.7.24 Release

IMPROVED

Digital Account Open - New Option to Select ETF Substitutions

There will be a 'Substitute' button on the investment summary if the UMA model or strategy is eligible for substitution. Available substitutions can be selected using the drop down for the desired asset class. Selected substitutions will display in the pending account checklist and the application output.



IMPROVED

Investor Portal – Edit and Nickname SEI Accounts

This is available to Investor or Firms users and allows you/your clients to easily identify accounts and personalize the view.

<u>Firm Experience:</u> The firm user will select the 3 dots next to the SEI account and then select Edit. The user will edit the account name and select Submit. The nickname will appear along with the SEI account name in several places in the Investor Portal.

Investor Experience: **The investor will select their account from the home page account summary and then select the gear icon. The investor will edit the account name and then select Submit. The account nickname will display in several places within the Investor Portal. The investor will also be able to edit within the mobile app.**

1.24.24 Release

IMPROVED

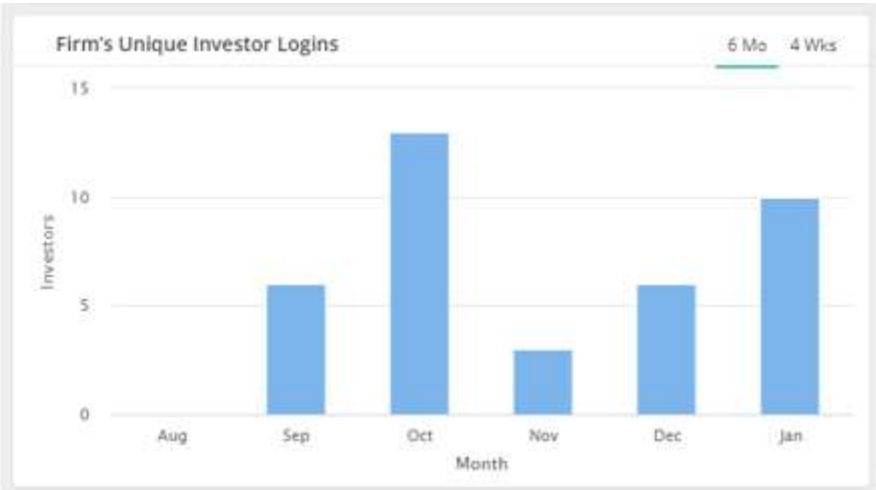
Investment Management Dashboard – New 'Adhoc Rebalance' Option

You can now initiate a strategy level adhoc rebalance of SEI and firm created strategies. Within the Investment Management dashboard select a strategy and then 'Adhoc Rebalance' within the Rebalance Settings section. An estimated trades view is available that provides details on the total buys/sells and net gain/loss for all accounts holding the strategy.

NEW

Investor Portal – New Dashboard View Tracking Unique Investor Logins

The Investor Portal now has a new option from the home page for you to view your firm's unique investor logins over time. You can view this over 6 months or over 4 weeks.

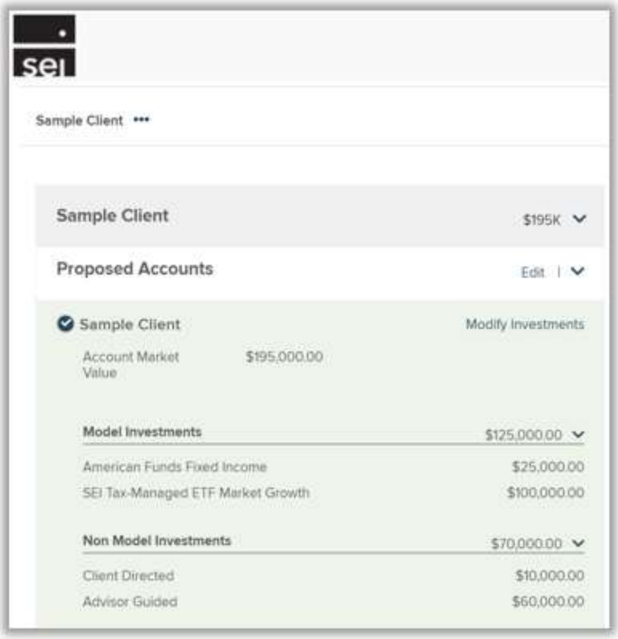


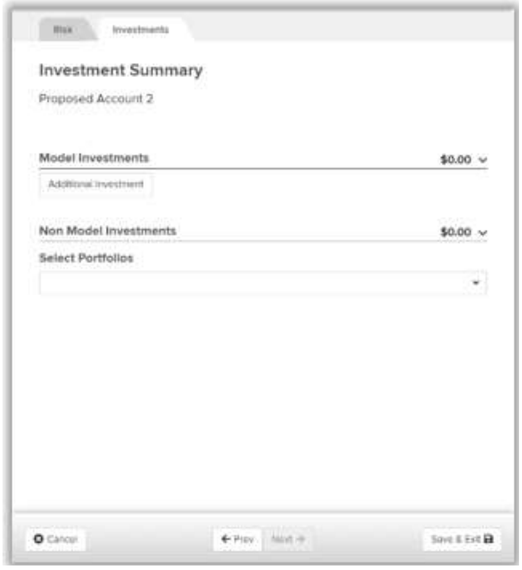
1.16.24 Release

IMPROVED

Proposal Builder – Ability to Include Non-Model Portfolios & Holdings

You will now be able to reflect the entirety of an account when generating proposal documents. The breakdown of model & non-model investments can be seen on the checklist page and the Investment Summary.



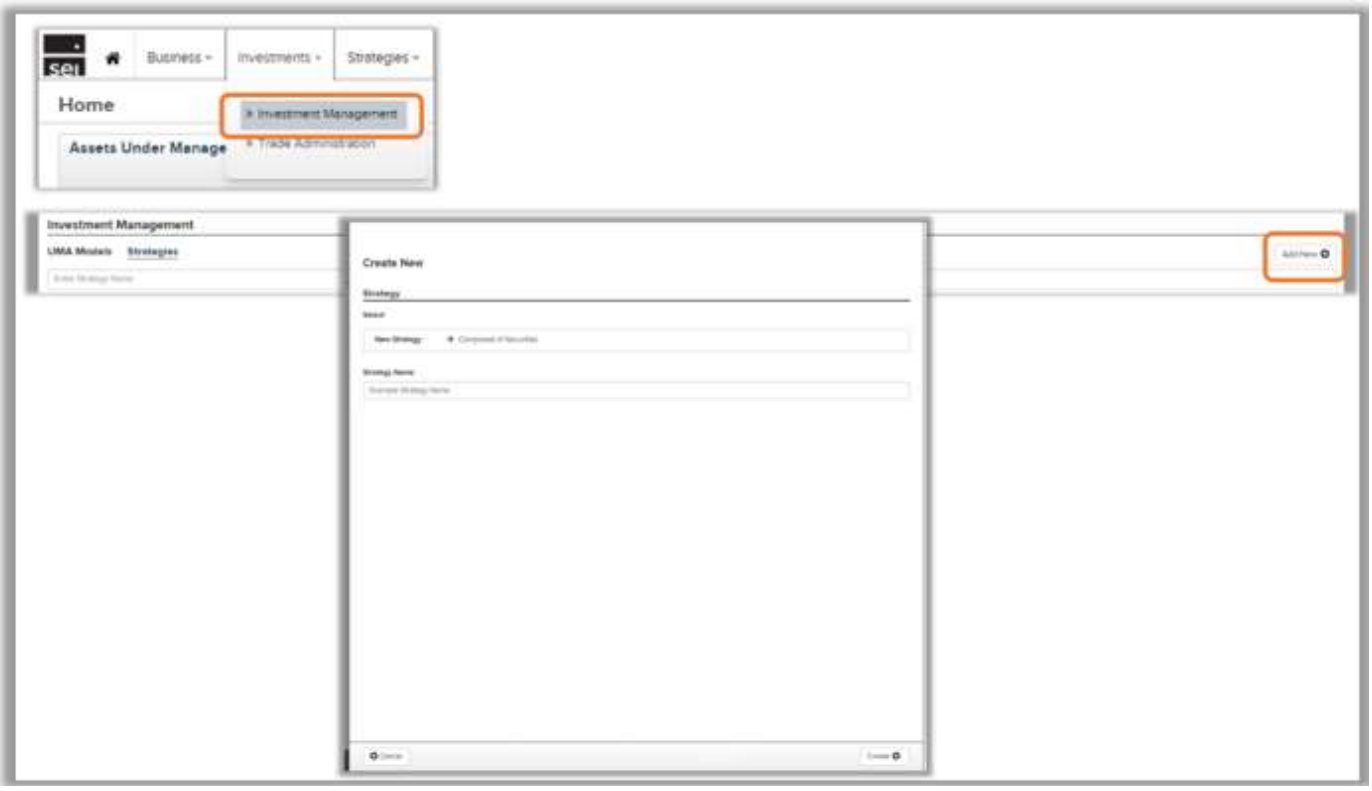


1.10.24 Release

NEW

Investment Management Dashboard – 'Add New' Action Now Available

The 'Add New' action allows you to create or modify firm custom strategies and view estimated trades for each account including with net gain/loss information. Access to this functionality is subject to Broker-dealer approval and firm-approved user entitlements.



IMPROVED

Modify Investment Assignment – New 'Adhoc Rebalance' Option

You can now initiate an account level ad hoc rebalance of SEI and firm created strategies and UMAs within the Modify Investment Assignment action. From the account checklist, select the strategy and then 'Adhoc Rebalance'. We display an estimated trades view that provides details on the total buys/sells and net gain/loss.